

| FORM PTO-1449<br><b>INFORMATION DISCLOSURE STATEMENT</b>  |     |                     | ATTY. DOCKET NO.<br>1033.0180002  |                  | APPLICATION NO.<br>To be assigned |           |             |
|---|-----|---------------------|---|------------------|-----------------------------------|-----------|-------------|
|   |     |                     | APPLICANT<br>Ian K. Shepherd  |                  |                                   |           |             |
|   |     |                     | FILING DATE<br>Herewith   |                  | GROUP<br>To be assigned           |           |             |
|   |     |                     | <b>U.S. PATENT DOCUMENTS</b>  |                  |                                   |           |             |
| EXAMINER INITIAL  |     | DOCUMENT NUMBER     | DATE  | NAME             | CLASS                             | SUB-CLASS | FILING DATE |
|   | AA2 | 4,903,201           | 02/1990   | Wagner           | 364                               | 408       |             |
|   | AB2 | 4,933,842           | 06/1990   | Durbin et al.    | 364                               | 408       |             |
|   | AC2 | 4,953,085           | 08/1990   | Atkins           | 364                               | 408       |             |
|   | AD2 | 4,975,840           | 12/1990   | DeTore et al.    | 364                               | 401       |             |
|   | AE2 | 4,980,826           | 12/1990   | Wagner           | 364                               | 408       |             |
|   | AF2 | 5,077,665           | 12/1991   | Silverman et al. | 364                               | 408       |             |
|   | AG2 | 5,101,353           | 03/1992   | Lupien et al.    | 364                               | 408       |             |
|   | AH2 | 5,126,936 <i>06</i> | 04/1992   | Champion et al.  | 364                               | 408       |             |
|   | AI2 | 5,136,501           | 08/1992   | Silverman et al. | 364                               | 408       |             |
|   | AJ2 | 5,970,479           | 10/1999   | Shepherd         | 705                               | 37        |             |
|   | AK2 | 6,134,536           | 10/2000   | Shepherd         | 705                               | 37        |             |
| <b>FOREIGN PATENT DOCUMENTS</b>   |     |                     |   |                  |                                   |           |             |
| EXAMINER INITIAL  |     | DOCUMENT NUMBER     | DATE  | COUNTRY          | CLASS                             | SUB-CLASS | TRANSLATION |
|   | AL2 | 0 434 224 A2        | 06/26/1991  | EP               | G06F                              | 15/21     | Yes<br>No   |
|   | AM2 | WO 91/14231         | 09/19/1991  | WIPO             | G06F                              | 15/21     | Yes<br>No   |
|   | AN2 | 2 180 380 A         | 03/25/1987  | GB               | G06F                              | 15/21     | Yes<br>No   |
|   | AO2 | WO 90/11571         | 10/04/1990  | WIPO             | G06F                              | 15/21     | Yes<br>No   |
|   | AP2 | WO 96/18160         | 06/13/1996  | WIPO             | G06F                              | 17/60     | Yes<br>No   |
| <b>OTHER (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |     |                     |   |                  |                                   |           |             |
|   | AR  | <u>2</u>            | "The Game of Professional Investment is Intolerably Boring and Over-Exacting to Anyone Who is Entirely Exempt from the Gambling Instinct, While He Who Has it Must Pay to this Propensity the Appropriate Toll," <i>Planned Savings</i> , December 1987, pp. 50-51. |                  |                                   |           |             |
|   | AS  | <u>2</u>            | "UK: Law Prohibiting Stock Market Betting Agencies from Suing Clients Overturned in Recent Legislation," <i>The Times</i> , July 24, 1990.  |                  |                                   |           |             |
|   | AT  | <u>2</u>            | "UK: Court Rules Gamblers Owing Money on Bets on Stock Market Have to Pay Up," <i>Mail on Sunday</i> , July 29, 1990, p. 61.  |                  |                                   |           |             |
| EXAMINER  |     |                     |   |                  | DATE CONSIDERED                   |           |             |
| <b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. |     |                     |   |                  |                                   |           |             |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /DIG/